PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO				· ·		
INFORMATION DISCLOSURE			SHDE	Application Number	10/817,212	
	Substitute for form 1449APTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	April 2, 2004		
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	STATEMENT BY APPLICANT	ry)	Art Unit	2187		
				Examiner Name	Than Vinh Nguyen	
Sheet	1	of	2	Attorney Docket Number	112056-0126D1	
Sheet	1	of	2			

U.S. PATENT DOCUMENTS Examine Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where Initials No. MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code² (if known) Figures Appear 5,471,640 11-28-1995 McBride Tomita 2 6.233.648 05-15-2001

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	3	WO 93/13478	07-08-1993	Compaq Computer Corp.		

Cumminas	Date	
Examiner		
Signature	Considered	

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(us	e as many sheet:	Application Number 10817,212	2187		
				Examiner Name	Than Vinh Nguyen
Sheet	2	of	2	Attorney Docket Number	112056-0126D1

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	T²
	-	publisher, city and/or country where published. "Defendant Sun Microsystems, Inc.'s Amended Answer and Counterclaim to Plaintiff Network Appliance,	1-
	4	Inc.'s Complaint for Patent Infringement", filed on March 24, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV-06053-EDL, 58 pages.	_
	5	"NetApp, Inc.'s Amended Reply to Sun's Amended Answer and Counterclaims", filed on April 2, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV- 06053-EDL, 40 pages.	
	6	"NetApp, Inc.'s Second Amended Reply to Sun's Amended Answer and Counterclaims", filed September 9, 2009 in United States District Court, Northern District of California, San Francisco Division, Case No. 3:07-CV-06053-EDL, 40 pages.	

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